

**SECTION 38 -- PREPARATION OF RESCISSION, DEFERRAL, AND SUPPLEMENTAL  
REPORTS**

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**Summary of Changes**

The rescission and deferral reports have been converted to a format more amenable to word processing applications.

**38.1 Instructions on preparing rescission, deferral, and supplementary reports.**

Rescission, deferral, and supplementary reports will be prepared in accordance with the following instructions. (Examples of these reports are illustrated in Exhibits 38A, C, and E.)

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<b>Entry</b>	<b>Explanation</b>
<b>Rescission Proposal No.</b> . . . . .	<p>A number (RCY-XX) is used to identify each proposed rescission. Enter "R" to designate a proposed rescission and the last two digits of the fiscal year for which the rescission is proposed. OMB will assign a serial number to distinguish between individual reports.</p> <p>If a revised rescission report is prepared, add an "A" to the OMB-assigned serial number (XX) of the initial rescission proposal to indicate the first revision, a "B" to indicate the second revision, etc.</p>
<b>Deferral No.</b> . . . . .	<p>A number (DCY-XX) is used to identify each deferral. Enter a "D" to designate a deferral and the last two digits of the fiscal year for which the deferral action is taken. OMB will assign a serial number to distinguish between individual deferrals.</p> <p>If a revised deferral report is prepared, add an "A" to the OMB-assigned serial number (XX) of the initial deferral to indicate the first revision, a "B" to indicate the second revision, etc.</p>
<b>Agency</b> . . . . .	Enter the name of the department or agency for which the rescission is proposed or the deferral action is taken.
<b>Bureau</b> . . . . .	Enter the name of the subordinate organization as shown in the most recent Budget.
<b>Account</b> . . . . .	<p>Enter the title of the appropriation or fund account from which the funds are being proposed for rescission or are being deferred. Also include the Treasury Account Symbol(s) to indicate the coverage of the report. Enter the account symbols:</p> <ul style="list-style-type: none"> <li>• for the accounts affected by the rescission proposal or deferral; or</li> <li>• for all accounts that are included under the appropriation title -- not just those subject to the proposed rescission or deferral if the account affected by the proposed rescission or deferral is merged in the Budget.</li> </ul> <p>To indicate the latter case, footnote this line as follows:</p> <p style="text-align: center;">"Includes all accounts included under this appropriation title."</p>
<b>New budget authority</b> . . . . .	Enter the amount of new budget authority specified in appropriation or substantive acts that is available in the current year for the accounts covered by the rescission or deferral report. This amount should equal the sum of new budget authority shown on lines 1A, 1B, 1C and 1D of the latest SF 132 in exact dollars. For deferrals and proposed rescissions that are transmitted on the same day (or shortly thereafter) as the Budget, amounts should agree with the amounts printed in the Budget Appendix. Thus, if accounts with amounts deferred or proposed for rescission are combined (or merged) with other accounts

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<b>Entry</b>	<b>Explanation</b>
	in the Budget Appendix, the budgetary resources on the deferral or rescission report will agree with the combined (or merged) account in the Budget Appendix, even if some of the combined accounts have no deferral or proposed rescission. Amounts will be expressed in dollars (per the latest SF 132s).
<b>Other budgetary resources</b> . . . . .	Enter the amount of other budgetary resources. This amount is equal to the sum of lines 2-6 on the latest SF 132.
<b>Total budgetary resources</b> . . . . .	Enter the total amount of budgetary resources. This should equal the amount on line 7 of the latest SF 132.
<b>Amount proposed for rescission</b> . . . . .	On rescission reports, enter the amount of budgetary resources proposed for rescission.
<b>Proposed appropriations language</b> . . . . .	Include proposed appropriations language (double-spaced and underlined) for rescission proposals.
<b>Amount to be deferred</b> . . . . .	On deferral reports, enter the amount of budgetary resources to be deferred, as follows:
<b>Part of year</b> . . . . .	<ul style="list-style-type: none"> <li>• Report the amount to be deferred for part of the current year. Use when amounts to be deferred would expire at the end of the year (i.e., for annual accounts and the last year of multiple-year accounts).</li> </ul>
<b>Entire year</b> . . . . .	<ul style="list-style-type: none"> <li>• Report the amount to be deferred for the entire current year. Use only when the funds remain available beyond the end of the fiscal year.</li> </ul>
<b>Legal authority</b> . . . . .	Indicate in the justification any legal authority in addition to sections 1012 and 1013 of the Impoundment Control Act (2 U.S.C. 683-684) for a rescission proposal or deferral.
<b>Antideficiency Act</b> . . . . .	Indicate in the justification when a rescission proposal or a deferral action is taken pursuant to the Antideficiency Act.
<b>Justification</b> . . . . .	<p>Provide a justification that briefly describes:</p> <ul style="list-style-type: none"> <li>(a) the activities funded by the account;</li> <li>(b) the rationale for the deferral or the proposed rescission and the consequences of not expending the funds; and</li> <li>(c) the authority for withholding the funds in addition to the Impoundment Control Act.</li> </ul>

Since these reports are transmitted by the President to the Congress, they should be written precisely and concisely so that those who are not familiar with the program will be able to understand the proposal.

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<b>Entry</b>	<b>Explanation</b>
<b>Estimated program effect</b> . . . . .	<p>When there is no estimated program effect, enter "None". This will normally be the case for rescissions proposed and deferrals made pursuant to the Antideficiency Act.</p> <p>When there is a program effect, include a brief, clear statement of the expected effect.</p>
<b>Outlay effect</b> . . . . .	<p>Show outlay savings for proposed rescissions for CY through BY+4 and the total for the five years, as appropriate.</p> <p>Do not show outlay savings for deferrals reported pursuant to the Antideficiency Act.</p>
<b>Footnotes</b> . . . . .	<p>For the account(s) covered by deferral or rescission report, cite any past or current year rescission proposals or deferrals.</p> <p>For a revised rescission or deferral report, indicate all sections containing changes from initial report with an asterisk (*) and provide the footnote "* Revised from previous report." Subsequent revisions to a report will also indicate changes from the previous report with the specified footnotes.</p> <p>When more than one Treasury account is affected by a proposed rescission or deferral, OMB may require that detail on budgetary resources and changes thereto be supplied at the Treasury account level.</p>
<b>Supplementary report</b> . . . . .	<p>A supplementary report will be prepared whenever the purpose of the rescission proposal or deferral has not changed, and (a) the amount of the proposed rescission changes; (b) the amount of the deferral increases; or (c) other substantial changes are made to the previous report.</p> <p>When the purpose of a deferral changes, OMB may determine that a new deferral report is required. In such cases, a supplementary report is not required.</p> <p>No supplementary report is needed when the amount of a deferral decreases. Reductions in deferrals are reported by OMB to the Congress in monthly cumulative reports based on approved apportionments. When all or portions of agency deferrals (i.e., deferrals not withheld through the apportionment process) are released, the agency should contact its OMB representative no later than the first day of the following month so that these deferrals can also be reported by OMB in cumulative reports.</p> <p>The supplementary report should specify:</p> <p style="padding-left: 40px;">(a) the amount of the initial proposed rescission or deferral reported in a special message or, in cases where revised reports</p>

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<b>Entry</b>	<b>Explanation</b>
	have been made previously, the amount of the latest revision reported in a special message;
	(b) the amount currently being deferred or proposed for rescission;
	(c) the amount of the increase in the deferral or change in proposed rescission; and
	(d) the reason for the change. (See Exhibit 38F for the format of supplementary reports.)
	For materials required to be submitted with a supplementary report, see section 38.4.

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### **38.2 Reports to Congress.**

The law requires the President to transmit to the Congress:

- special messages;
- supplementary messages, whenever any information contained in a special message submitted previously is revised; and
- cumulative reports listing the status, as of the first day of the month, of all deferrals and rescission proposals previously included in special messages. The cumulative reports are to be transmitted to Congress by the 10th day of each month.

Instructions on reporting procedures are provided below.

### **38.3 Materials required for special messages.**

Agencies are required to submit an original and two copies of the following materials, as appropriate, to the Office of Management and Budget:

- For each rescission proposal:
  - a proposed rescission report (see Exhibit 38A);
  - proposed rescission language (see Exhibit 38A); and
  - an apportionment request (SF 132) that reflects the amount withheld pending rescission on line 9 of the SF 132 (see Exhibit 38B). For proposed rescissions that are transmitted on the same day (or shortly thereafter) as the Budget, amounts should agree with the amounts printed in the Budget Appendix. Thus, if accounts with amounts proposed for rescission are combined (or merged) with other accounts in the Budget Appendix, the budgetary resources on the rescission report will agree

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with the combined (or merged) account in the Budget Appendix, even if some of the combined accounts have no proposed rescission. Amounts will be expressed in dollars (per the latest SF 132s).

- For each deferral:

a deferral report (see Exhibit 38C); and

an apportionment request (SF 132) that reflects the amount deferred on line 10 of the SF 132 (see Exhibit 38D). For deferrals that are transmitted on the same day (or shortly thereafter) as the Budget, amounts should agree with the amounts printed in the Budget Appendix. Thus, if accounts with amounts deferred are combined (or merged) with other accounts in the Budget Appendix, the budgetary resources on the deferral report will agree with the combined (or merged) account in the Budget Appendix, even if some of the combined accounts have no deferral. Amounts will be expressed in dollars (per the latest SF 132s).

(For information on materials required for supplementary messages, see section 38.4 and Exhibit 38E.)

The rescission or deferral reports are required to include information specifying:

- the amount proposed for rescission or being deferred;
- the affected account and specific project or governmental functions involved;
- the reasons why the amount should be rescinded or deferred;
- the estimated fiscal, economic, and budgetary effects of the rescission proposal or deferral;
- the effect of the rescission proposal or deferral on the objects, purposes, and programs for which the amount was provided, to the maximum extent practicable; and
- any other relevant facts, circumstances, and considerations.

In the case of deferrals, the report must also specify the period of time the budget authority is to be deferred (i.e., for part of the fiscal year or for the full year) and any legal authority invoked to justify the deferral in addition to the Impoundment Control Act (2 U.S.C. 684).

Additional examples of deferral reports and apportionment requests are included as exhibits, as follows:

Type of Exhibit	Description	Exhibit No.
Apportionment request	For deferral overturned by Congress	38G
Apportionment request	For congressionally-initiated deferral	38H

The information provided by the agencies and incorporated into special messages constitutes formal notification to the Congress of rescission proposals and deferrals. As such, rescission and deferral reports prepared by agencies should set forth a brief description of the program, and a justification that presents in a logical, clear and concise fashion the reasons for the rescission proposal or deferral, a persuasive argument in support of each rescission proposal or deferral, and any other relevant information. The estimated program and outlay effect should also be specifically addressed.

For instructions on preparation of rescission and deferral reports, see section 37.1.

#### **38.4 Materials required for supplementary messages.**

Whenever information on a rescission proposal or deferral previously included in a special message is revised, agencies will submit an original and two copies of:

- a supplementary report explaining the change (see Exhibit 38E for a supplementary report for a deferral);
- the corresponding revised rescission or deferral report (see Exhibit 38E for a revised deferral report);
- in the case of rescissions, revised proposed rescission language; and
- in some cases, a reapportionment request (see Exhibit 38F).

(NOTE: Revisions to information (e.g., amounts withheld or explanations) contained in rescission or deferral reports will be preceded by an asterisk (\*). The report also will be footnoted "\* Revised from previous report.")

The supplementary report, the revised rescission or deferral report, and revised rescission language will be included in a special message from the President to the Congress.

#### **38.5 Cumulative reports.**

OMB is responsible for preparing cumulative reports on the status of rescission proposals and deferrals. For these reports, agencies are required to notify OMB when all or portions of agency deferrals (deferrals not withheld through the apportionment process) are released.

#### **38.6 Timing of submissions to OMB.**

For deferrals and proposed rescissions withheld through the apportionment process:

- the required materials will be submitted when the corresponding apportionment or reapportionment requests are made to OMB, or
- if OMB suggests changes in or initiates rescission proposals or deferrals, requested materials will be furnished expeditiously on a time schedule determined by OMB.

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The department or agency head is responsible for identifying deferrals not withheld through the apportionment process (i.e., agency deferrals) and for submitting the required materials immediately after a decision is made to withhold funds.

A supplementary report (and revised rescission proposal report and proposed rescission language, or deferral report, as appropriate) will be submitted to OMB whenever an agency submits a reapportionment request changing the amount of the rescission proposal or increasing the amount of the deferral, or making any substantial changes to information contained in a previous report.

Reductions in amounts deferred are reported in cumulative reports based on approved apportionments. When all or portions of agency deferrals are released, the agency should contact OMB no later than the first day of the following month to report the release.

### **38.7 OMB responsibilities.**

OMB will compile and transmit the special and supplementary messages and the cumulative reports to the Congress and to the Comptroller General. After the special and supplementary messages are transmitted to the Congress and GAO, they are printed as House and Senate documents and in the Federal Register.

### **38.8 Review of deferrals.**

Agencies should review all deferrals periodically so that amounts deferred for only part of the year may be released in time to be used prudently before the year ends.

Deferrals of funds expiring at the end of the year should be reviewed in June. If it is unclear whether funds are needed or could be prudently used, or if a determination is made that such amounts should not be used before the funds expire, a rescission will be proposed before the beginning of the fourth fiscal quarter. Only in exceptional cases will rescissions of annual funds be proposed during the fourth quarter. All proposed rescissions must be approved by OMB.

If amounts actually becoming available are less than previously anticipated for indefinite budget authority, transfers, reimbursements, or recoveries, the difference will normally be deducted from amounts apportioned. The difference will not be deducted from amounts deferred or otherwise unapportioned, unless specific provision is made for a different treatment on the approved apportionment form, or unless reapportionment action is taken. Whenever it is determined that a deferred amount will not be required to carry out the purposes of the appropriation or other authority, it will be recommended for rescission as required by law (31 U.S.C. 1512 and 2 U.S.C. 683).

### **38.9 Proposals to lower limitations on trust or revolving funds.**

Statutory limitations on the availability of trust or revolving funds are a mechanism to control funds that would otherwise be available for obligation under broad authority. The limitations are generally not the source of authority to incur obligations; rather they place a ceiling on the use of a portion of the obligational authority by limiting the amount that can be obligated or committed for a specific purpose. Generally amounts in trust or revolving funds do not expire.



A proposal to lower a statutory limitation on funds that do not expire, by itself, will not result in a rescission. Even when the Congress enacts the lower limitation, the funds will continue to be available for other purposes in the same account.

In the rare case when the intent is to restrict the use of such funds permanently, the proposal must be modified usually by proposing to amend the authorizing legislation.

If the intent is to lower the limitation and withhold such funds temporarily, the funds may be deferred through the apportionment process. To withhold the funds through the apportionment process requires that the trust or revolving fund be apportioned and the difference between the limitation and the proposed lower amount be placed on line 10, deferred, of the apportionment for the trust or revolving fund.

Whenever an amount is placed on line 10 of an apportionment, a deferral report is required. As with other deferrals, if it is intended that the funds be deferred through a subsequent fiscal year(s), a new deferral report is required at the beginning of each new fiscal year in which funds are to be deferred.

Whether appropriations language to reduce the limitation is also required can be determined only after careful programmatic and legal analyses of the account, the limitation, and the basic legislation authorizing the program.

### **38.10 Apportionment action following enactment of rescissions.**

In the case of Presidentially-proposed rescissions, apportionment forms will be submitted to OMB when amounts are to be withheld through the apportionment process pending rescission.

If Congress completes action on such a proposal within the 45-day period prescribed by law and rescinds the exact amount proposed by the President, reapportionment action is not required to reflect the reduction in budget authority. The SF 132 will be adjusted to reflect the enacted rescission only if reapportionment action is requested for other reasons. On the SF 133, amounts on line 1A, 1B, or 1C, as appropriate, will be net of enacted rescissions of new budget authority. Enacted rescissions of unobligated balances will be reflected on line 2A.

In all other circumstances involving congressional rescission of amounts initially proposed for rescission by the President, reapportionment requests will be submitted to OMB promptly upon completion of congressional action. This includes instances when the Congress rescinds an amount different from that proposed by the President within the prescribed 45 days or rescinds all or any portion of the amount proposed by the President subsequent to the expiration of the prescribed 45 days of continuous session. In all cases, agencies will follow congressional action on proposed rescissions affecting their programs or activities to ensure accurate and timely reapportionment action.

Congressionally-initiated rescissions may occur as the result of the reconciliation process established by the Congressional Budget Act (2 U.S.C. 641) or because of changing priorities or economic conditions during the year. Agencies will submit reapportionment requests after final congressional approval in such cases and ensure that obligations do not exceed reduced appropriations. When congressionally-initiated rescissions take place, apportionments will be adjusted in the following ways:

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- Where initial apportionment action has not been completed before rescission action is taken and sufficient time exists to revise the apportionment request (e.g., within 30 days after the date of enactment of the appropriation bill), the affected agency or OMB will make appropriate changes to the SF 132.
- When there is insufficient time to adjust the initial SF 132, the agency will submit a reapportionment request within five calendar days after the date of enactment of the rescission.

**38.11 Release of withholdings necessitated by congressional action or inaction.**

According to law, funds withheld pending rescission must be released following expiration of the prescribed 45 days of continuous session without completion of action on the proposed rescission by both Houses of Congress. Similarly, amounts deferred must be released following enactment of legislation disapproving a reported deferral.

In situations where funds must be released because of congressional inaction on proposed rescissions, reapportionment requests reflecting the release of the affected amounts will be submitted to OMB before the end of the prescribed 45 days, as determined by OMB. The 45-day period begins the first day following receipt of a special message by the Congress, if the Congress is in session. If the Congress is not in session at the time of the transmittal of a special message, the first day on which the Congress convenes is the first day of the 45-day period. If the second session of a Congress adjourns sine die before the expiration of the 45 days, the special message is considered retransmitted on the first day of the succeeding Congress and the 45-day period begins the following day. If either House recesses during a session for more than three days to a day certain, the number of days in recess is excluded from the counting period. OMB, in consultation with the General Accounting Office, will determine the day for the release of each proposed rescission and will notify agencies when funds should be released.

In situations where the Congress enacts legislation to disapprove an Executive deferral, agencies must take prompt action to ensure the release of the affected amounts. In those cases where funds have been deferred through the apportionment process, a reapportionment form, reflecting release of amounts previously deferred, will be submitted to OMB not later than the day following enactment of the legislation.

Rescission Proposal No. RCY-XX

**PROPOSED RESCISSION OF BUDGET AUTHORITY**  
**Report Pursuant to Section 1012 of P.L. 93-344**

**Agency:** DEPARTMENT OF GOVERNMENT  
**Bureau:** Bureau of Statistics  
**Account:** Salaries and expenses (80Y0200)

**New budget authority:** \$744,605,000  
**Other budgetary resources:** 42,000,000  
**Total budgetary resources:** 786,605,000

**Amount proposed for rescission:** 223,000

**Proposed appropriations language:**

Of the funds made available under this heading in Public Law XXX-XXX, \$223,000 are rescinded.

**Justification:** The proposal would rescind \$223 thousand, thereby reducing the amount generally available in the Bureau of Statistics. The Bureau conducts research to provide the means for a safer, more economical supply of office supply products for the Nation and to provide producers with technologies to supply these products competitively. The proposed rescission is possible because applications for research efforts have fallen drastically from expected levels. This action is taken pursuant to the Antideficiency Act (31 U.S.C. 1512).

**Estimated programmatic effect:** As a result of the proposed rescission, Federal outlays will decrease, as specified below. This will have a commensurate effect on the Federal budget deficit and, to that extent, will have a beneficial effect on the economy. These reductions can be absorbed within the available resources and would have a negligible impact on the program.

Effect on Outlays (in thousands of dollars)					
<u>FY 1998</u>	<u>FY 1999</u>	<u>FY 2000</u>	<u>FY 2001</u>	<u>FY 2002</u>	<u>Total</u>
-178	-45	----	----	----	-223

Apportionment or Reapportionment Request for Recission Proposal  
Reported in Exhibit 38A

SF 132 APPORTIONMENT AND REAPPORTIONMENT SCHEDULE			Fiscal year <u>CY</u>
AGENCY: Department of Government		APPROPRIATION OR FUND TITLE AND SYMBOL	
BUREAU: Bureau of Statistics		80Y0200 Salaries and expenses	
DESCRIPTION	AMOUNT ON LATEST SF 132	AGENCY REQUEST	ACTION BY OMB
<b>BUDGETARY RESOURCES</b>			
1. Budget Authority			
A. Appropriation..... P.L. 106-530.....	744,605,000	744,605,000	
3. Spending authority from offsetting collections (gross)			
A. Earned:			
1. Collected.....		5,000,000	
C. Anticipated for rest of year:			
1. Advance for anticipated orders.....	42,000,000	37,000,000	
7. Total budgetary resources.....	786,605,000	786,605,000	
<b>APPLICATION OF BUDGETARY RESOURCES</b>			
<i>Memorandum:</i>			
8. Apportioned: <i>Obligations incurred</i>			
Category A:			
(1) First quarter..... 198,601,325	200,000,000	200,000,000	
(2) Second quarter.....	200,000,000	200,000,000	
(3) Third quarter.....	200,000,000	200,000,000	
(4) Fourth quarter.....	186,605,000	186,382,000	
9. Withheld pending rescission.....		223,000	
12. Total budgetary resources.....	786,605,000	786,605,000	

  

SUBMITTED <u>Authorized officer</u>	1/30/CCY	APPORTIONED _____	
	(Date)		(Date)

Actual data as of 11/30/PCY

Deferral No. DCY-XX

**DEFERRAL OF BUDGET AUTHORITY**  
**Report Pursuant to Section 1013 of P.L. 93-344**

**Agency:** DEPARTMENT OF GOVERNMENT  
**Bureau:** Bureau of Statistics  
**Account:** Foreign Assistance <sup>1</sup> (80Y0300)

**New budget authority:** \$2,419,600,000  
**Other budgetary resources:** 486,647,204  
**Total budgetary resources:** 2,906,247,204

**Amount deferred for entire year:** \$2,330,097,776 <sup>2</sup>

**Justification:** The deferral withholds all funds for which there are no approved country-by-country plans. The President is authorized by the Foreign Assistance Act of 1961, as amended, to furnish assistance to countries and organizations, on such terms and conditions as he may determine, in order to promote economic or political stability. Section 531(b) of the Act makes the Secretary of Government, in cooperation with the Administrator of the Bureau of Statistics, responsible for policy decisions and justifications for economic support programs, including whether there will be an economic support program for a country and the amount of the program for each country.

These funds have been deferred pending the development of country-specific plans that assure that aid is provided in an efficient manner and are reserved for unanticipated program needs. This action is taken pursuant to the Antideficiency Act (31 U.S.C. 1512).

**Estimated programmatic effect:** None.

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<sup>1</sup> This account was the subject of a similar deferral in FY 19PY (DPY-XX).

<sup>2</sup> Subsequent releases have reduced the amount deferred to \$1,249,778,456.



DCY-XXA

Supplementary Report  
Report Pursuant to Section 1014(c) of Public Law 93-344

This report updates Deferral No. DCY-XX transmitted to Congress on October 1, 19PY.

This increases by \$10,000,000 the previous deferral of \$2,330,097,776 in the Department of Government's Foreign assistance account, resulting in a total deferral of \$2,240,097,776. The additional funds can be deferred because more unobligated funds were available at the end of 19PY than previously anticipated.

\* \* \*

Deferral No. DCY-XXA

**DEFERRAL OF BUDGET AUTHORITY**  
**Report Pursuant to Section 1013 of P.L. 93-344**

**Agency:** DEPARTMENT OF GOVERNMENT  
**Bureau:** Bureau of Statistics  
**Account:** Foreign Assistance <sup>3</sup> (80Y0300)

**New budget authority:** \$2,419,600,000  
**Other budgetary resources:** \* 496,647,204  
**Total budgetary resources:** \* 2,916,247,204

**Amount deferred for entire year:** \* \$2,340,097,776 <sup>4</sup>

**Justification:** The deferral withholds all funds for which there are no approved country-by-country plans. The President is authorized by the Foreign Assistance Act of 1961, as amended, to furnish assistance to countries and organizations, on such terms and conditions as he may determine, in order to promote economic or political stability. Section 531(b) of the Act makes the Secretary of Government, in cooperation with the Administrator of the Bureau of Statistics, responsible for policy decisions and justifications for economic support programs, including whether there will be an economic support program for a country and the amount of the program for each country.

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<sup>3</sup> This account was the subject of a similar deferral in FY 19PY (DPY-XX).

<sup>4</sup> Subsequent releases have reduced the amount deferred to \$1,249,778,456.

Deferral No. DCY-XXA

**DEFERRAL OF BUDGET AUTHORITY**  
**Report Pursuant to Section 1013 of P.L. 93-344**

These funds have been deferred pending the development of country-specific plans that assure that aid is provided in an efficient manner and are reserved for unanticipated program needs. This action is taken pursuant to the Antideficiency Act (31 U.S.C. 1512).

**Estimated programmatic effect:** None.

\* Revised from previous report.



**Apportionment or Reapportionment Request for Revised Deferral  
Reported in Exhibit 38E**

Fiscal year <u>CY</u>			
SF 132 APPORTIONMENT AND REAPPORTIONMENT SCHEDULE			
<u>AGENCY: Department of Government</u>	<u>APPROPRIATION OR FUND TITLE AND SYMBOL</u>		
<u>BUREAU: Bureau of Statistics</u>	80Y0300	Foreign assistance	
DESCRIPTION	AMOUNT ON LATEST SF 132	AGENCY REQUEST	ACTION BY OMB
<b>BUDGETARY RESOURCES</b>			
1. Budget Authority			
A. Appropriation..... P.L. 105-456.....	2,419,600,000	2,419,600,000	
3. Spending authority from offsetting collections (gross)			
C. Anticipated for rest of year:			
2. Without advance.....	486,647,204	496,647,204	
<b>7. Total budgetary resources.....</b>	<b>2,906,247,204</b>	<b>2,916,247,204</b>	
<b>APPLICATION OF BUDGETARY RESOURCES</b>			
8. Apportioned:	<i>Memorandum: Obligations incurred</i>		
Category A:			
(1) First quarter..... 145,687,523	150,000,000	150,000,000	
(2) Second quarter.....	150,000,000	150,000,000	
(3) Third quarter.....	150,000,000	150,000,000	
(4) Fourth quarter.....	126,149,428	126,149,428	
10. Deferred.....	2,330,097,776	2,340,097,776	
<b>12. Total budgetary resources.....</b>	<b>2,906,247,204</b>	<b>2,916,247,204</b>	

Whenever, as a result of Executive action/inaction, the amount on this line increases above the amount shown the most recently transmitted deferral report, a supplementary report and related materials specified in section 38.1 will accompany the reapportionment request (see exhibit 38E). In instances where the purpose of the deferral changes, OMB will determine whether a new or a revised deferral report is required. No report is required when the amount deferred decreases.

SUBMITTED Authorized officer 1/25/CCY APPORTIONED \_\_\_\_\_  
(Date) (Date)

Apportionment or Reapportionment Request for Deferral Reported in  
Exhibits 38C-F Overturned by Congress

SF 132 APPORTIONMENT AND REAPPORTIONMENT SCHEDULE			Fiscal year <u>CY</u>
AGENCY: Department of Government	APPROPRIATION OR FUND TITLE AND SYMBOL		
BUREAU: Bureau of Statistics	80Y0300	Foreign assistance	
DESCRIPTION	AMOUNT ON LATEST SF 132	AGENCY REQUEST	ACTION BY OMB
<b>BUDGETARY RESOURCES</b>			
1. Budget Authority			
A. Appropriation.....P.L. 105-456.....	2,419,600,000	2,419,600,000	<div style="border: 1px solid black; padding: 2px;">                     The "Amount on Latest SF 132" column must reflect the most recent approved apportionment.                 </div>
3. Spending authority from offsetting collections (gross)			
C. Anticipated for rest of year:			
2. Without advance.....	496,647,204	496,647,204	
<b>7. Total budgetary resources.....</b>	<b>2,916,247,204</b>	<b>2,916,247,204</b>	
<b>APPLICATION OF BUDGETARY RESOURCES</b>			
<i>Memorandum: Obligations incurred</i>			
8. Apportioned:			<div style="border: 1px solid black; padding: 2px;">                     Since apportioned amounts are available on a cumulative basis, \$10,185,000 is available through the second quarter. This is less than \$7,574,063 obligated through the second quarter. Thus, even through the second quarter obligation amount (\$4,165,014) exceeds the second quarter apportionment amount (\$4,052,000) there is no violation of the Antideficiency Act in this case.                 </div>
Category A:			
(1) First quarter.....	145,687,523	150,000,000	
(2) Second quarter.....	151,879,523	150,000,000	
(3) Third quarter.....	149,852,654	150,000,000	
(4) Fourth quarter.....	126,149,428	146,149,428	
10. Deferred.....	2,340,097,776	2,320,097,776	<div style="border: 1px solid black; padding: 2px;">                     In cases where the Congress passes and the President signs an appropriation bill containing the disapproval of all or a portion of a deferral, this information should be provided in a footnote on the apportionment schedule. The amount previously deferred should be reduced or deleted to reflect the release.                 </div>
<b>12. Total budgetary resources.....</b>	<b>2,916,247,204</b>	<b>2,916,247,204</b>	

  

SUBMITTED Authorized officer 8/20/CCY APPORTIONED \_\_\_\_\_  
 (Date) (Date)

Actual date as of 5/31/CCY  
 This apportionment reflects congressional disapproval of a portion of Deferral No. DCY-XXA as contained in P.L. 106-171 on July 28, CY.

**Apportionment or Reapportionment Request for  
Congressionally-Initiated Deferral for Account Illustrated in Exhibit 35C**

SF 132 APPORTIONMENT AND REAPPORTIONMENT SCHEDULE			Fiscal year <u>CY</u>
AGENCY: Department of Government	APPROPRIATION OR FUND TITLE AND SYMBOL		
BUREAU: Bureau of Central Services	80X1309	Research and Development	
DESCRIPTION	AMOUNT ON LATEST SF 132	AGENCY REQUEST	ACTION BY OMB
<b>BUDGETARY RESOURCES</b>			
1. Budget Authority			
A. Appropriation..... P.L. 99-456.....	25,000,000	29,999,983	
2. Unobligated balance			
A. Brought forward October 1.....(Actual).....	1,610,000	1,610,017	
3. Spending authority from offsetting collections (gross)			
A. Earned:			
1. Collected.....	86,000	205,973	
B. Change in unfilled customer orders (+ or -):			
2. Without advance from Federal sources.....	9,000	6,123	
C. Anticipated for rest of year:			
2. Without advance.....	305,000	87,904	
4. Recoveries of prior year obligations:			
A. Actual.....	27,000	123,823	
B. Anticipated.....	123,000	26,177	
5. Temporarily not available pursuant to P.L. <u>106-99</u> (-)		-10,000,000	
6. Permanently not available:			
B. Enacted rescission (-).....		-200,000	
<b>7. Total budgetary resources.....</b>	<b>27,160,000</b>	<b>21,860,000</b>	
<b>APPLICATION OF BUDGETARY RESOURCES</b>			
<i>Memorandum:</i>			
<i>Obligations incurred</i>			
8. Apportioned:			
Category A:			
(1) First quarter..... 99,049	120,000	120,000	
(2) Second quarter..... 101,014	120,000	120,000	
(3) Third quarter..... 110,941	120,000	120,000	
(4) Fourth quarter.....	120,000	90,000	
Category B:			
(1) Research..... 10,397,461	16,800,000	16,410,000	
(2) Dev. of products..... 2,017,601	9,880,000	5,000,000	
11. Unapportioned balance of revolving fund.....			
<b>12. Total budgetary resources.....</b>	<b>27,160,000</b>	<b>21,860,000</b>	

Line 5 may be used when:

- a general provision specifies that a certain percentage of the amount appropriated for a particular object; e.g. travel or consultants, shall not be available for obligation;
- a congressionally-initiated deferral of an amount that has been appropriated is enacted, including enactment of a deferral in excess of that amount deferred by the Executive (enter the amount not available due to congressional action on this line); and
- a substantive law specifies that all or a portion of the amount appropriated is not available for obligation unless specifically authorized (enter the amount not available on this line and the total amount appropriated on line 1).

SUBMITTED Authorized officer 8/20/CCY APPORTIONED \_\_\_\_\_  
(Date) (Date)

Actual amounts are as of 5/31/CCY  
This apportionment reflects congressionally-initiated deferral as contained in P.L. 106-99 on July 28, CCY.